



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/592,845  
Applicant : Mark Rosenberg  
Filed : June 13, 2000  
Title : INVESTMENT STRUCTURE AND METHOD HAVING FIXED  
AND CONTINGENT COMPONENTS  
TC/A.U. : 3624  
Examiner : Subramanian, Narayanswamy  
Docket No. : 5547  
Customer No. : 038598

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**GROUP 3600**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**AMENDMENT AND RESPONSE UNDER 37 C.F.R. §1.111**

Sir:

In response to the Office Action mailed January 14, 2004, please amend the above-identified application as follows:

**Amendments to the Claims** begin on page 2 of this response.

**Remarks/Arguments** begin on page 16 of this response.